

**TRANSFERS TO MINORS (UTMA)**

Account Number \_\_\_\_\_

\_\_\_\_\_  
(Custodian's First and Last Name)

as custodian for \_\_\_\_\_ (Minor's First, Middle, and Last Name)

Minor's SSN \_\_\_\_\_ Minor's Date of Birth \_\_\_\_\_

Minor's Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Under the CALIFORNIA UNIFORM TRANSFERS TO MINORS ACT, Prob. Code § 3900, et seq, hereby applies for a share account in Credit Union of Southern California (CU SoCal). CU SoCal is hereby authorized to act without further inquiry in accordance with writings bearing my signature. You are authorized to supply any endorsement for me on any check or other instrument tendered for this account, and you are hereby relieved of any liability in connection with collection of such items which are handled by you without negligence, and you shall not be liable for the acts of your agents, sub-agents or others or for any casualty. The terms of the California Uniform Transfers to Minors Act, as amended, are hereby incorporated herein by this reference. I agree to conform to the Bylaws, rules, regulations and practices of CU SoCal and any existing or future amendments thereto. Non-transferable except on books of CU SoCal.

Custodian's Signature \_\_\_\_\_ Date \_\_\_\_\_

First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

Street \_\_\_\_\_ Extra Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Country \_\_\_\_\_

Birth Date \_\_\_\_\_ SSN/TIN \_\_\_\_\_ Mother's Maiden Name \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone (and ext.) \_\_\_\_\_ Mobile Phone \_\_\_\_\_

Current Employer \_\_\_\_\_ Occupation \_\_\_\_\_

Identification 1 \_\_\_\_\_ ID Type 1 \_\_\_\_\_ ID Description 1 \_\_\_\_\_

ID Number 1 \_\_\_\_\_ ID Issuance Date 1 \_\_\_\_\_ ID Expiration Date 1 \_\_\_\_\_

**PAYER'S REQUEST FOR TAXPAYER IDENTIFICATION NO.** Name of Minor: \_\_\_\_\_

**PART I. TAXPAYER IDENTIFICATION NUMBER (TIN).** Enter your TIN in the box below. The TIN provided must match the name given above to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, refer to the W-9 Form, Specific Instructions, Part I. For other entities, it is your employer identification number (EIN). If you do not have this number, see instructions How to get a TIN in the W-9 Form, Specific Instructions. NOTE: If the account is in more than one name, see the chart on the W-9 Form, Specific Instructions.

Minor's SSN # or Employer ID #: \_\_\_\_\_

**PART II. CERTIFICATION.** Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and** 2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and** 3. I am a U.S. person (defined in the W-9 Form, General Instructions).

**CERTIFICATION INSTRUCTIONS.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See Certification Instructions in the W-9 Form).

Custodian's Signature \_\_\_\_\_ Date \_\_\_\_\_

AS CUSTODIAN FOR \_\_\_\_\_ Print Minor's Name \_\_\_\_\_

**TRANSFER AGREEMENT AND DESIGNATION OF SUCCESSOR CUSTODIAN**

I \_\_\_\_\_ (transferor), hereby deliver \$ \_\_\_\_\_ to Credit Union of Southern California (CU SoCal) to a share account in the name of \_\_\_\_\_ (custodian), as custodian for \_\_\_\_\_ (name of minor) under the CALIFORNIA UNIFORM TRANSFER TO MINORS ACT, Prob. Code § 3900, et seq.

This transfer of money to the named minor, which transfer shall include all earnings thereon and any future additions thereto, is irrevocable and is made in accordance with and incorporates the provisions of the California Uniform Transfers to Minors Act, Prob. Code § 3900, et seq, as amended, by this reference.

Further, I hereby designate the person(s) named below to be SUCCESSOR CUSTODIAN(S), in the following order, if the custodian originally designated is unable, declines, is ineligible to serve, resigns, dies, becomes incapacitated or is removed. In such event, the first designated Successor Custodian is unable or unwilling to serve for any reason, the second designated Successor Custodian shall execute the new Transfer Agreement as Custodian.

Successor Custodian (1) \_\_\_\_\_ Successor Custodian (2) \_\_\_\_\_

Signature of Transferor \_\_\_\_\_ Date \_\_\_\_\_

**INTERNAL USE ONLY**

Membership Approved By (print name) \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_ Account # \_\_\_\_\_

Membership Officer Initials \_\_\_\_\_